

DOCKET NO: M0765.70069US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

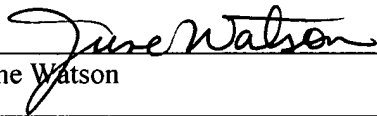
Applicant: G. William Rebeck, et al.  
Serial No.: 10/664,414  
Confirmation No.: 3970  
Filed: September 17, 2003  
For: METHODS AND COMPOSITIONS FOR TREATING ALZHEIMER'S DISEASE

Examiner: Not yet assigned  
Art Unit: 1614

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **Mail Stop Missing Parts**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 16th day of December, 2004.

  
June Watson

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**Mail Stop Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following document(s):

- ☒ **Part 2 - Notice to File Missing Parts**
- ☒ **Preliminary Amendment**
- ☒ **Fee Calculation Sheet**
- ☒ **Declaration for Patent Application (4)**
- ☒ **Recordation Form Cover Sheet**
- ☒ **Assignment**
- ☒ **Return Receipt Postcard**

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

**A four month extension of time is requested.**

12/21/2004 MBEYENE1 00000028 232825 10664414

02 FC:2254 845507.1

795.00 0P


Serial No. 10/664,414  
Conf. No. 3970

Page 2

**Applicant claims small entity status.**

A check in the amount of \$ 1,400.00 is enclosed for the following fees: \$150.00 for basic filing fee; \$250.00 for Search Fee; \$100.00 for Examination Fee; \$795.00 for four month extension fee; \$65.00 surcharge; and \$40.00 for Assignment Recordation fee. Please charge any underpayment or credit any overpayment to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
**G. William Rebeck, et al., Applicant**

By:   
John R. Van Amsterdam, Reg. No. 40,212  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617) 646-8000

Docket No. M0765.70069US00  
Date: December 16, 2004  
x12/17/04x

Repln. Ref: 12/22/2004 MBEYENE1 0016320600  
DAH:232825 Name/Number:10664414  
FC: 9204 \$105.00 CR

Inventor(s): G. William Rebeck, et al.

Serial No.: 10/664,414

Confirmation No.: 3970

Filed: September 17, 2003

CHECK BOX, if applicable:

For: METHODS AND COMPOSITIONS FOR  
TREATING ALZHEIMER'S DISEASE☐ DUPLICATE


## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(i))	20-20 =	0 x	\$ 50.00	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(h))	3-3 =	0 x	\$ 200.00	= \$ 0.00
	APPLICATION SIZE FEE (37 CFR 1.16(s))	(51-100) / 50 =	0 x	\$ 250.00	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$ 360.00	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a), (b), or (c))	\$ 300.00
				SEARCH FEE (37 CFR 1.16(k), (l), or (m))	\$ 500.00
				EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	\$ 200.00
	Fee for Petition for Extension of Time (if any)				\$ 1590.00
	Other Fees (if any)				\$ 130.00
	Total of above Calculations =				\$ 2,720.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$ 1360.00
	Assignment Recordation Fee (if any)				\$ 40.00
	TOTAL =				\$ 1,400.00

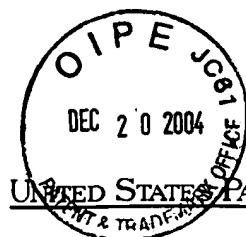
1. A check in the amount of \$1,400.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☒ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☒ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
 John R. Van Amsterdam, Reg. No. 40,212  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, Massachusetts 02210-2206  
 Telephone: (617) 646-8000

Docket No.: M0765.70069US00  
 Date: December 16, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/664,414	09/17/2003	G. William Rebeck	M0765.70069US00

CONFIRMATION NO. 3970

## FORMALITIES LETTER



\*OC000000012970428\*

Date Mailed: 06/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

~~12/21/2004 MBEYENE1 00000028 232825 10664414~~

FILED UNDER 37 CFR 1.53(b)

~~03 FC:2001 -395.00-OP-~~  
~~04 FC:2201 -130.00-DA- -170.00-OP-~~  
~~05 FC:2202 -2475.00-DA-~~  
~~06 FC:2051 -65.00-DA-~~

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- 12/22/2004 MBEYENE1 00000004 10664414  
 65.00 OP  
 395.00 OP  
 795.00 OP
- Additional claim fees of \$2330 as a non-small entity, including any required multiple dependent claim fees are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are required.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$3230** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$2330

Adjustment date: 12/22/2004 MBEYENE1 00000028 232825 10664414  
 03 FC:2001 -395.00-OP-  
 04 FC:2201 130.00 CR  
 05 FC:2202 2475.00 CR

~~12/21/2004 MBEYENE1 00000029 232825 10664414~~  
~~01 FC:2203 -180.00-DA-~~

Adjustment date: 12/22/2004 MBEYENE1 00000028 232825 10664414  
 01 FC:2201 -40.00-OP-

Adjustment date: 12/22/2004 MBEYENE1 00000028 232825 10664414  
 02 FC:2254 -795.00 OP

12/22/2004 MBEYENE1 00000004 10664414

02 FC:2051  
 03 FC:2001  
 04 FC:2254

- \$258 for 3 independent claims over 3.
- \$1782 for 99 total claims over 20.
- \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE